

Classification of Investigation Results

<u>After Full Investigation</u>	
Substantiated	An allegation is classified as “Substantiated” where there is sufficient reliable evidence to support the allegation made by the complainant.
Substantiated Other Than Reported (SOTR)	An allegation is classified as “SOTR” where matters other than the original allegations raised by the complainant, which are closely associated with the complaint and have a major impact on the investigation, have been discovered and are found to be substantiated.
Not Fully Substantiated	An allegation is classified as “Not Fully Substantiated” where there is some reliable evidence to support the allegation, but it is insufficient to fully substantiate the complaint.
Unsubstantiated	Where there is insufficient evidence to support the allegation.
No Fault	Two common reasons for classifying a complaint as “No Fault” are first, the complainant may have misunderstood the facts; and second, the complaine e was acting under the lawful instructions of his superior officer or in accordance with established police practice.
False	An allegation is classified as “False” where there is sufficient reliable evidence to indicate that the allegation made by the complainant is untrue, be it a complaint with clear malicious intent or a complaint which is not based upon genuine conviction or sincere belief but with no element of malice.
<u>Without Full Investigation</u>	
Withdrawn	<p>A complainant is classified as “Withdrawn” where the complainant does not wish to pursue the complaint made.</p> <p>Even when a complainant initiates the withdrawal of a complaint, IPCC will ensure that no undue influence has been exerted on the complainant, and that the Police can learn from the complaint. IPCC will also ensure that CAPO will take corresponding remedial action.</p>

<u>Without Full Investigation (cont'd)</u>	
Withdrawn (Cont'd)	<p>A complainant's withdrawal does not necessarily result in the case being classified as "Withdrawn". IPCC and CAPO will examine the available evidence to ascertain whether a full investigation is warranted despite the withdrawal and/or whether any of the allegations are substantiated on the basis of information available.</p>
Not Pursuable	<p>An allegation is classified as "Not Pursuable" when:-</p> <ul style="list-style-type: none"> - the identity of the complainee cannot be ascertained; - there is insufficient information to proceed with the investigation; <p>or</p> <ul style="list-style-type: none"> - the cooperation of the complainant cannot be obtained to proceed with the investigation. <p>The above definition does not mean that no further action will be taken when the complainant cannot identify the complainee. CAPO will make an effort to identify the complainee(s) on the basis of the information available. Only after such an effort has been made to no avail will the conclusion be reached that the identity of the complainee cannot be ascertained.</p> <p>If a complaint has been classified as "Not Pursuable" due to the lack of cooperation from the complainant, it may be reactivated later when the complainant comes forward to provide the necessary information.</p>
Informally Resolved	<p>The Informal Resolution Scheme aims at a speedy resolution of minor complaints, such as allegations of impoliteness or use of offensive, the nature of which is considered relatively minor.</p> <p>A minor complaint suitable for "Informal Resolution" will not be subject to a full investigation. Instead, a senior officer, at least at the rank of Chief Inspector of Police, will act as the Conciliating Officer. He will make enquiry into the facts of a complaint with the complainant and the complainee separately. If he is satisfied that the matter is suitable for "Informal Resolution", and with the agreement of the complainant, the complaint will be informally resolved.</p>